

FORM PTO-1449

INFORMATION DISCLOSURE CHART

NOV 02 2001

Attorney Docket:
3123-4006Serial No.:
09/834,434Applicant:
EMALFARB, Mark A.Filing Date:
April 13, 2001Group Art Unit:
TBA

U.S. PATENT DOCUMENTS

| Examiner Initial | Patent Number | Issue Date | Name | Class | Sub-Class | Filing Date |
|------------------|---------------|------------|-----------------|-------|-----------|-------------|
| thw | A 6,184,026 | 02/06/2001 | Shuster et al | | | 09/24/99 |
| | B 6,066,493 | 05/23/2000 | Shuster et al. | | | 03/13/97 |
| | C 6,057,103 | 05/02/2000 | Short | | | 08/26/97 |
| | D 6,054,267 | 04/25/2000 | Short | | | 08/02/96 |
| | E 6,030,779 | 02/29/2000 | Short | | | 10/06/97 |
| | F 5,989,814 | 11/23/99 | Frankel et al. | | | 04/01/97 |
| | G 5,958,672 | 09/28/99 | Short | | | 06/03/96 |
| | H 5,939,250 | 08/17/99 | Short | | | 05/22/96 |
| | I 5,830,696 | 11/03/98 | Short | | | 12/05/96 |
| | J 5,824,485 | 10/20/98 | Thompson et al. | | | 04/24/96 |
| | K 5,783,431 | 07/21/98 | Peterson et al. | | | 10/24/96 |
| | L 5,578,463 | 11/26/96 | Berka et al. | | | 08/02/94 |
| | M 5,536,661 | 07/16/96 | Boel et al. | | | 03/07/94 |
| | N 4,816,405 | 03/28/89 | Yelton et al. | | | 10/16/87 |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Patent Number | Issue Date | Country | Class | Sub-Class | Translation |
|------------------|----------------|------------|---------|-------|-----------|--|
| thw | O WO 01/09352 | 02/08/2001 | WIPO | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | P WO 00/50567 | 08/31/2000 | WIPO | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | Q WO 00/56893 | 09/28/2000 | WIPO | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | R EP 1 022 335 | 07/26/2000 | EP | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

OTHER DOCUMENTS (continued)

| | | |
|-----|---|---|
| thw | S | Jay M. Short, 1997, "Recombinant Approaches For Accessing Biodiversity", <i>Nature Biotechnology</i> , 15:1322-1323 |
| thw | T | PCT International Search Report (07/02/2001) |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Examiner

T. Alvarado

Date Considered

1/23/04

EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP §609.
 Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to Applicant.